

FLEHR
HOHBACH
TEST
ALBRITTON
& HERBERT
LLP

FACSIMILE TRANSMITTAL SHEET *(D)* *#2/C*

Telephone: (415) 781-1989 *8/3/01*
FAX: (415) 398-3249

4 Embarcadero Center
Suite 3400
San Francisco, CA
94111-4187

Intellectual Property Law

Received TC 1600 FAX center
(21 pages including this
cover sheet)

16 8.7.01

TO: Examiner Lisa Gansheroff
Art Unit 1636

FAX NO.: (703) 305-7939

FROM: Richard F. Trecartin

RE/FILE NO.: U.S. Patent Application Serial No. 09/029,579
Methods and Compositions for Nucleic Acid Targeting
Our File A-66805/RFT/RMS/SJR

Number of Pages: 20 (including transmittal sheet)

DATE SENT: August 7, 2001

**PLEASE IMMEDIATELY NOTIFY
EX. GANSHEROFF OF RECEIPT
OF THIS FAX TRANSMISSION.**

THANK YOU.

IMPORTANT/CONFIDENTIAL: This facsimile communication is intended only for the use of the individual or entity to which it is addressed. The following pages contain information which may be privileged and/or confidential. If the reader of this facsimile is not the intended recipient, or the employee or agent responsible for delivering the facsimile to the intended recipient, you are hereby notified that any disclosure of the contents, or dissemination or distribution of this facsimile to others, or copying of this communication, is strictly prohibited. If you have received this communication in error, please telephone us immediately at (415) 781-1989 and return all copies of this facsimile to us by mail. We thank you for your cooperation.

IF YOU RECEIVE ILLEGIBLE COPIES, NOTIFY THIS OFFICE IMMEDIATELY.

Return to _____

P.1

Application No.: 09/029,579

Inventor: Ulf Landegren

Attorney Docket No.: A-66805/RFT/RMS/BTC

Date Due: June 5, 2001 (with 3 month ext.)

Title: **METHODS AND COMPOSITIONS FOR NUCLEIC ACID TARGETING**

Receipt is hereby acknowledged of the following:

- AMENDMENT UNDER 37 C.F.R. §1.116
- NOTICE OF APPEAL WITH 3 MONTH EXTENSION OF TIME
- REVOCATION AND SUBSTITUTION OF POWER OF ATTORNEY
- DECLARATION UNDER 37 C.F.R. §1.132
- DECLARATION FOR PATENT APPLICATION
- SEQUENCE LISTING WITH DISK
- Check No. 35918, in the amount of \$755.00
- Certificate of Mailing
- NOTICE TO COMPLY**

Date Mailed: June 5, 2001

Commissioner of Patents

Invoice Date	Invoice No.	Matter ID
5/29/2001	RFT	66805-000-A

Invoice
755.00

5/29/2001

35916

**FLEHR HOHBACH TEST ALBRITTON
AND HERBERT LLP**
4 EMBARCADERO CENTER, SUITE 3400
SAN FRANCISCO, CA 94111
(415) 781-1988

Seven Hundred Fifty-Five & No/100 Dollars

DATE	AMOUNT
5/29/2001	755.00

FLEHR HOHBACH TEST ALBRITTON AND HERBERT LLP

Commissioner of Patents

PAY
TO THE
ORDER
OF

AUTHORIZED SIGNATURES

THE REVERSE SIDE OF THIS DOCUMENT INCLUDES AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

35916 1210004971 1700087031

IN THE U.S. PATENT AND TRADEMARK OFFICE

Application No.: 09/029,579

Attorney Docket No.: A-66805/RFT/RMS/BTC

Inventor: Ulf Landegren

Date Due: June 5, 2001 (with 3 month ext.)

Title: **METHODS AND COMPOSITIONS FOR NUCLEIC ACID TARGETING**

Receipt is hereby acknowledged of the following:

- AMENDMENT UNDER 37 C.F.R. §1.116
- NOTICE OF APPEAL WITH 3 MONTH EXTENSION OF TIME
- REVOCATION AND SUBSTITUTION OF POWER OF ATTORNEY
- DECLARATION UNDER 37 C.F.R. §1.132
- DECLARATION FOR PATENT APPLICATION
- SEQUENCE LISTING WITH DISK
- Check No. 35916, in the amount of \$755.00
- Certificate of Mailing
- NOTICE TO COMPLY

Date Mailed: June 5, 2001



Notice to Comply	Application No. 09/029,579 Examiner Lisa J. Gansheroff	Applicant(s) LANDEGREN, ULF Art Unit 1636
------------------	---	--

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29820 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- 7. Other:

Applicant Must Provide:

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

PatentIn Software Program Support

Technical Assistance.....703-287-0200

To Purchase PatentIn Software.....703-308-2600

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY